## SE-US045044

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Kazuaki SAKURADA : Patent Art Unit: 1762

Serial No.: 10/796,276 : Examiner James Lin

Filed: March 10, 2004

For: APPARATUS AND METHOD FOR :

FABRICATING FUNCTIONAL FILM

## INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents Washington, DC 20231

Sir:

In accordance with MPEP 609 and 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant brings the references listed on the attached PTO-1449-Form to the Examiner's attention and requests that they be considered and made of record in this application. *A copy of the non-U.S. patent is* attached.

Since this application was filed after June 30, 2003, the U.S. Patent and Trademark Office waives the requirement under 37 C.F.R. 1.98 (a) (2) (i) for submitting a copy of each cited U.S. Patent and each U.S. patent application publication.

I hereby certify that each item of information in the Information Disclosure Statement filed herewith was cited for the first time in any communication from a foreign patent office in any counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

No fee is believed to be required in view of the above certification. However, Applicant authorizes the Commissioner of Patents and Trademarks to charge payment of the Petition fee Under 37 C.F.R. §1.17(p) if required and any other fees associated with this communication or credit any overpayment to Deposit Account No. 50-1836.

\* \* \*

Serial No.:10/796,276 Filed: March 10, 2004

Taiwanese Patent No. 519577 appears to disclose a color filter manufacturing apparatus. This reference was cited in an office action issued by Taiwanese Patent Office in the counterpart Taiwanese application of the present application. An English Abstract is attached for the Examiner's convenience. Moreover, U.S. Patent No. 6,645,029 is believed to be an English language counterpart of the Taiwanese Patent No. 519577, and is cited in lieu of a translation because the U.S. counterpart is believed to overlap sufficiently to understand the relevancy of the Taiwanese Patent No. 519577.

Prompt examination on the merits is respectfully requested.

Respectfully submitted,

Nomugi Tomoyori Reg. No. 59,784

GLOBAL IP COUNSELORS, LLP 1233 Twentieth Street, NW, Suite 700 Washington, DC 20036

(202)-293-0444

Dated:

5/15/07

S:\05-MAY07-MLB\SE-US045044 IDS with certification foreign PTO.doc

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO				Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/796,276 March 10, 2004	
				Filing Date		
STATEMENT DI AFFEICANT			LIOAITI	First Named Inventor	Kazuaki SAKURADA	
				Group Art Unit	1762	
(use as many sheets as necessary)			necessary)	Examiner Name	James Lin	
Sheet	1	of	1	Attorney Docket Number	SE-US045044	

			U.S. PATENT E	DOCUMENTS		
Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevan Passages or Relevant	
	110.	Number - Kind Code <sup>2</sup> (if known)		Territoria (1971)	Passages or Relevant Figures Appear	
		US- 6,645,029-B2	11-11-2003	AKAHIRA		
	1	US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
	1	บร-	,			
		US-				
		US-				
		US-				
	1	US-				
		US-				
· · · · · · · · · · · · · · · · · · ·		US-				
		US-				

		FOREIGN PA	TENT DOCU	MENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )				Τ°
		TW-519577-B	02-01-2003	AKAHIRA		Z
					· · · · · · · · · · · · · · · · · · ·	
			<u>                                     </u>			

Examiner	Date	
Signature	Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional) . <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.